

Magnetism and spectroscopy of clusters

What the SPRKKR package is good for

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Outline

Introduction: Clusters and magnetism

Primer on clusters

Magnetism — basic concepts

Free Fe clusters — ground-state magnetic properties

Magnetism of clusters — intuitive expectations

Clusters - DOS, μ_{spin} , μ_{orb}

Comparing Fe clusters and Fe surfaces

Magnetism of free and supported clusters

Magnetism of clusters for $T \neq 0$

Finite temperature magnetism HOWTO

Free Fe clusters: exchange coupling

Free Fe clusters: magnetization

X-ray absorption spectroscopy of clusters

Primer on x-ray absorption spectroscopy

XAS and XMCD of free Fe clusters

Verification of XMCD sum rules for $\text{Fe}_N/\text{Ni}(001)$

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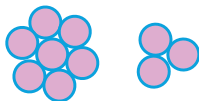
Introduction: Clusters and magnetism

Clusters: Who they are?

- ▶ Clusters = systems of tens to hundreds of atoms
- ▶ Radii from ~ 6 Å for a 100-atom cluster to ~ 15 Å for a 1000-atom cluster

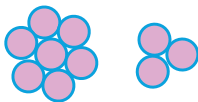
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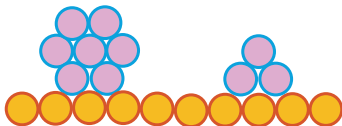


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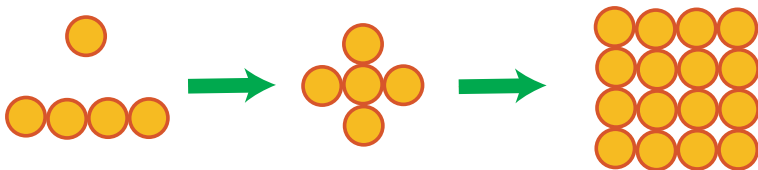


- ▶ **Supported** clusters — adsorbed on a surface



Clusters: What can we expect ?

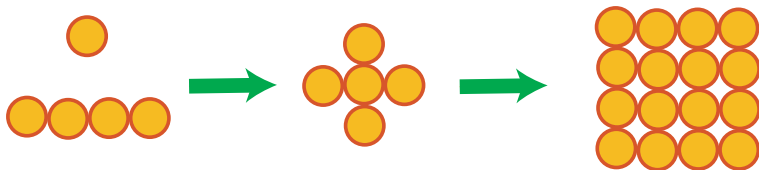
- ▶ Clusters mark the transition between atoms, surfaces and bulk systems



- ▶ Interesting phenomena (and a lot of fun) can be anticipated
- ▶ Our main focus will be on their *magnetic* properties

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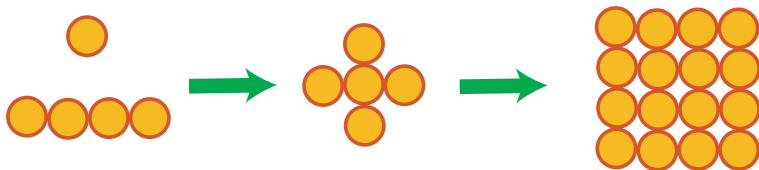
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Where does magnetism come from?

- ▶ Classically: Magnetic field is *something* produced by moving electric charges that affects other moving charges
- ▶ **Special relativity**: Magnetism is a *fictitious force* needed to guarantee **Lorentz invariance** when charges move
- ▶ Dealing with magnetism in the framework of **Dirac equation** is ideologically simple
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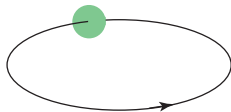
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Two ways of moving an electron

(A quick and dirty introduction to magnetism)

▶ Orbiting



▶ Spinning



Orbital magnetic moment (1)

Classical expression for magnetic moment:

$$\boldsymbol{\mu}_{\text{orb}} = I \mathbf{S} \quad \Longrightarrow \quad \boldsymbol{\mu}_{\text{orb}} = -\mu_B \mathbf{L}$$

where μ_B is Bohr magneton

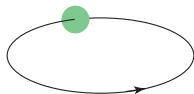
$$\mu_B \equiv \frac{e}{2m_e} \hbar$$

and \mathbf{L} is angular momentum divided by \hbar .

For electron orbiting *around an atom*, the z-component of orbital magnetic moment is thus

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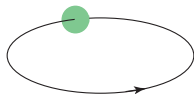
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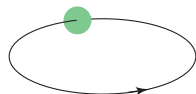
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Orbital magnetic moment (2)

Practical evaluation of orbital magnetic moment of electrons in a solid:



$$\mu_{\text{orb}}^{(z)} = -\frac{\mu_B}{\pi} \text{Im Tr} \int_{-\infty}^{E_F} dE \int d^3r \beta L_z G(\mathbf{r}, \mathbf{r}; E) ,$$

β is Dirac matrix

L_z is the z-component of a 4×4 matrix vector $I_4 \otimes \mathbf{L}$

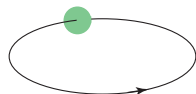
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Find it in the output of the SPRKKR program.

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Spin magnetic moment (1)

Electron spin: Picture of a rotating charged sphere fails...

$$\boldsymbol{\mu}_{\text{orb}} = -\mu_B \mathbf{L} \quad \text{vers.} \quad \boldsymbol{\mu}_{\text{spin}} = -2\mu_B \mathbf{S}$$

\mathbf{L} is angular momentum connected with *orbital* motion

\mathbf{S} is angular momentum connected with “*spinning*”



For electron *around an atom*, the z -component of spin-related angular momentum is

$$S^{(z)} = \pm \frac{1}{2} \hbar ,$$

hence we get for a z -component of spin-related magnetic moment

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Free Fe clusters — ground-state magnetic properties

Magnetism of Fe atom

Magnetic properties of atoms are governed by Hund rules

Electron configuration: $3d^64s^2$



- ▶ Spin magnetic moment: $\mu_{\text{spin}} = 4\mu_B$
 - ▶ First Hund rule: Total atomic spin quantum number $S = \sum m_s$ is maximum (as long as it is compatible with Pauli exclusion principle)
- ▶ Orbital magnetic moment: $\mu_{\text{orb}} = 2\mu_B$
 - ▶ Second Hund rule: Total atomic orbital quantum number $L = \sum m_\ell$ is maximum (as long as it is compatible with Pauli exclusion principle and first Hund rule)

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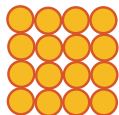
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Magnetism of bulk Fe crystal



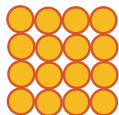
- ▶ Generally: Magnetism is **suppressed** in the bulk (with respect to atomic case)
- ▶ Spin magnetic moment is $\mu_{\text{spin}} \approx 2.2 \mu_B$ per atom
- ▶ Orbital magnetic moment is quenched (outright zero in non-relativistic case)
 - ▶ Intuitively: Electron are not free to orbit around atoms
 - ▶ Relativistic effect: The quenched orbital moment is partially restored by LS coupling ($\mu_{\text{orb}} \approx 0.05 \mu_B$ per atom)

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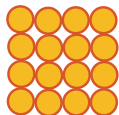
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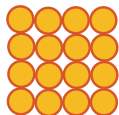
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Surfaces are magnetism-friendly



- ▶ Atoms at surfaces exhibit some atomic-like characteristics
- ▶ Spin magnetic moment is larger than in bulk; for Fe it is $\mu_{\text{spin}} \approx 2.5\text{--}3.0 \mu_B$ per atom
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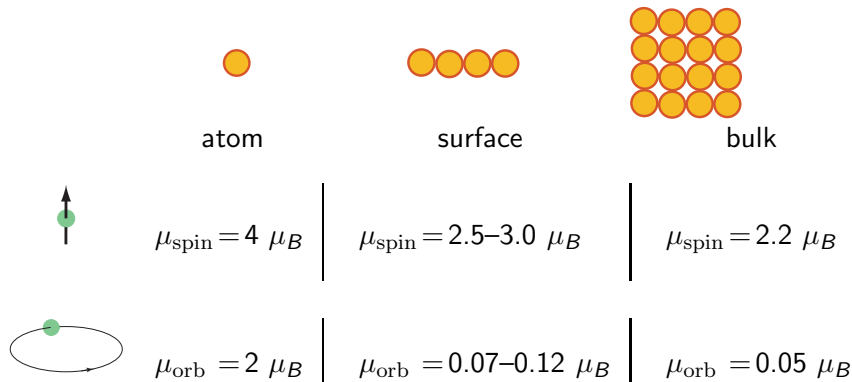
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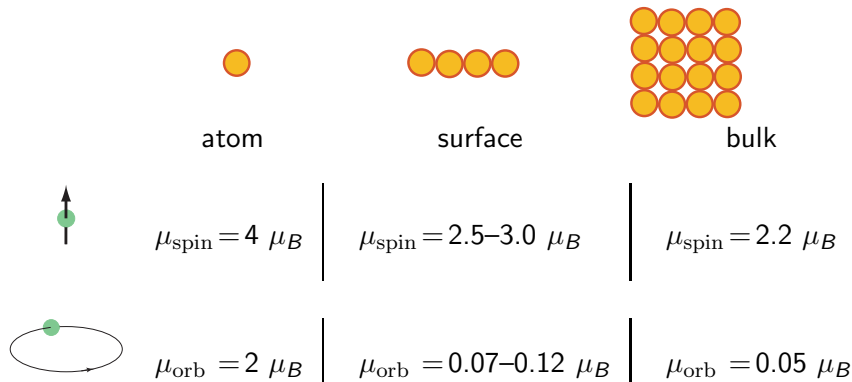
Magnetism of iron: summary



(clusters go in between)

Properties of clusters should display traces of surface and bulk trends

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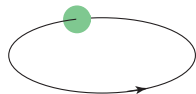


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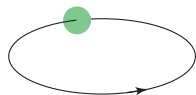
Properties of clusters should display traces of surface and bulk trends

Why all the fuss with μ_{orb} ?

- ▶ μ_{orb} is small



Why all the fuss with μ_{orb} ?



- ▶ μ_{orb} is small *but important* !
- ▶ It is a manifestation of spin-orbit coupling, which is the mechanism behind the **magnetocrystalline anisotropy**
- ▶ Under certain assumptions, magnetocrystalline anisotropy energy (MAE) can be estimated as

$$\Delta E_{\text{MAE}} = \text{const} \times \left(\mu_{\text{orb}}^{\parallel} - \mu_{\text{orb}}^{\perp} \right)$$

where $\mu_{\text{orb}}^{\parallel}$ and μ_{orb}^{\perp} are orbital magnetic moments for two perpendicular directions of the magnetization **M**

System we study

- ▶ Free spherical-like Fe clusters with geometry taken as if cut from a bulk *bcc* Fe crystal

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- ▶ Free spherical-like Fe clusters with geometry taken as if cut from a bulk *bcc* Fe crystal
- ▶ Cluster size between 9 atoms (1 coordination shell) and 89 atoms (7 coordinations shells)

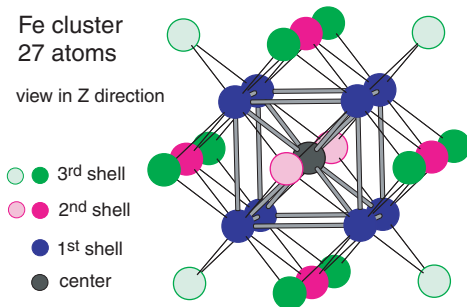
shells	atoms	radius [Å]
1	9	2.49
2	15	2.87
3	27	4.06
4	51	4.76
5	59	4.97
6	65	5.74
7	89	6.25

Lowering of symmetry

- ▶ Magnetization and spin-orbit coupling **lower the symmetry** of our systems
- ▶ Atoms belonging to the same coordination shell may be inequivalent
- ▶ Classes of equivalent atoms depend on the direction of magnetization **M**

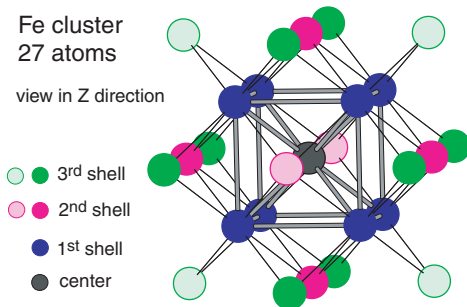
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- ▶ L(S)DA scheme
- ▶ cluster calculations done in real space via a fully-relativistic spin-polarized multiple-scattering technique
- ▶ crystal surfaces treated as 2D finite slabs (fully-relativistic spin-polarized TB-KKR method)
- ▶ spherical ASA approximation
- ▶ *empty spheres* put around the clusters in order to account for spilling of the electron charge into vacuum

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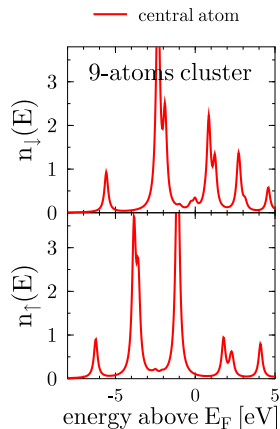
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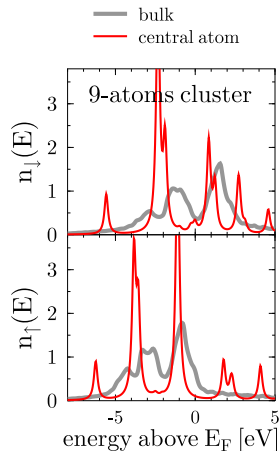
DOS in clusters and in bulk

- ▶ Atomic-like features present in DOS of clusters



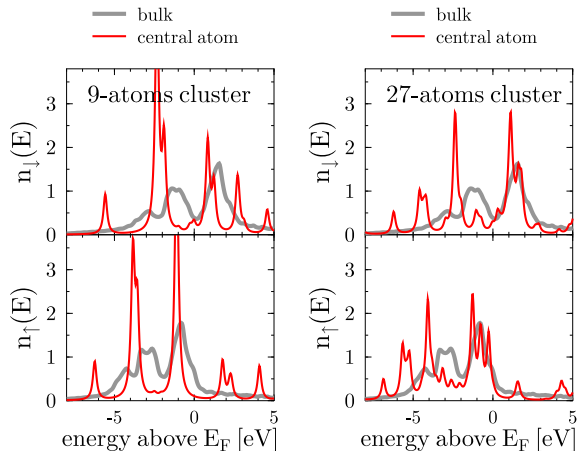
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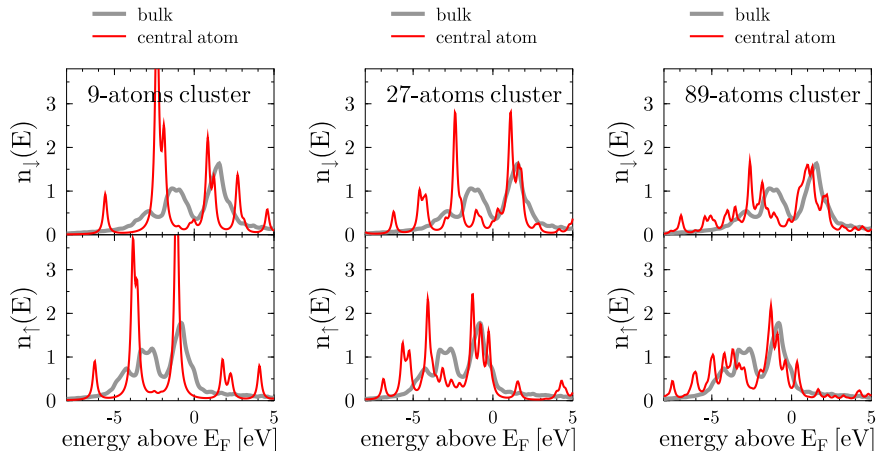
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DOS in clusters and in bulk

- ▶ Atomic-like features present in DOS of clusters
- ▶ DOS in the center of clusters approaches the bulk quite slowly



Magnetic profiles of clusters

What do we mean by that

Local magnetic moments:

μ_{spin} and μ_{orb} can be attributed to
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Magnetic profiles of clusters

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μ_{spin} and μ_{orb} can be attributed to **individual sites** by performing the integrations

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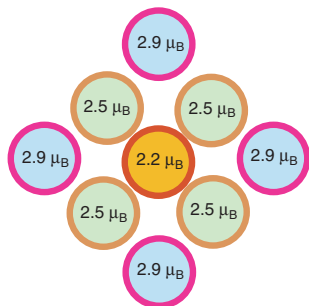
and

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over atomic spheres

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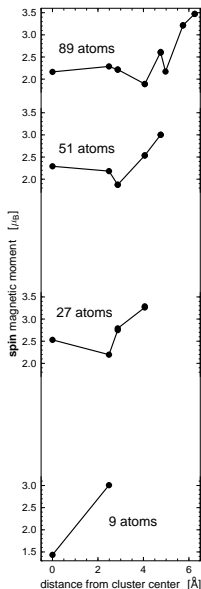
and

$$\mu_{\text{orb}} \sim \int d^3r \beta L_z G(\mathbf{r}, \mathbf{r}, E)$$

over atomic spheres

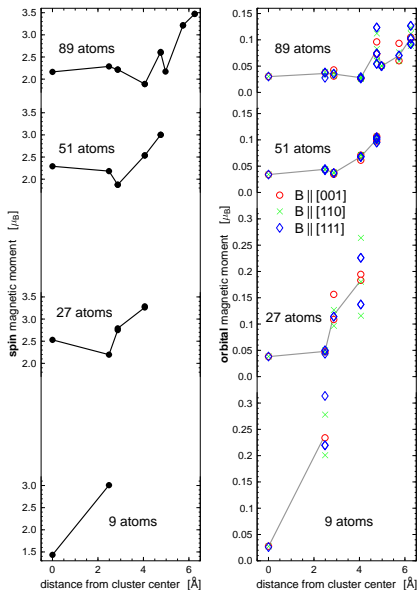
Free Fe clusters: magnetic profiles

- ▶ Enhancements and oscillations all around
- ▶ μ_{spin} does not depend on the direction of \mathbf{M}
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 - ▶ for inequivalent atoms of the same coordination sphere μ_{orb} differs
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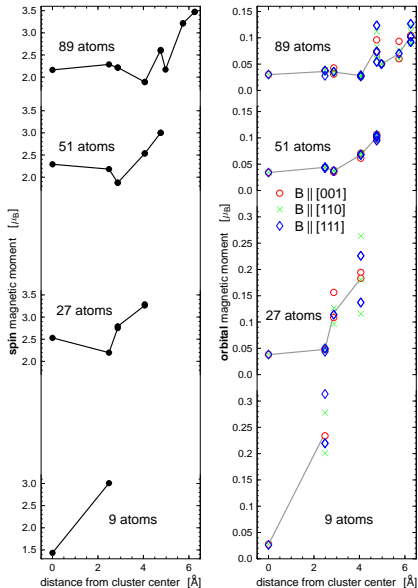
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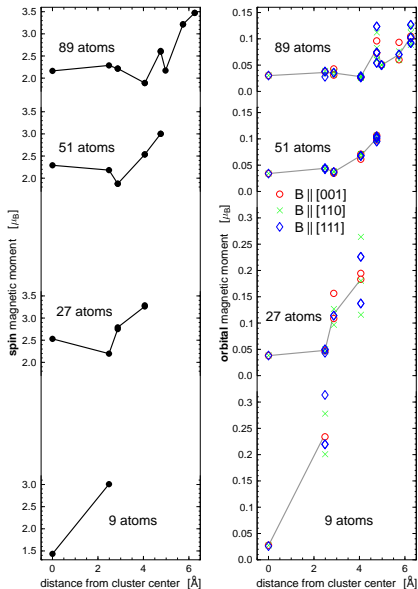
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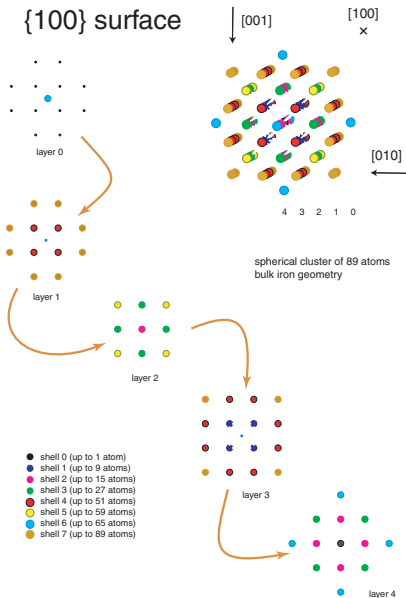
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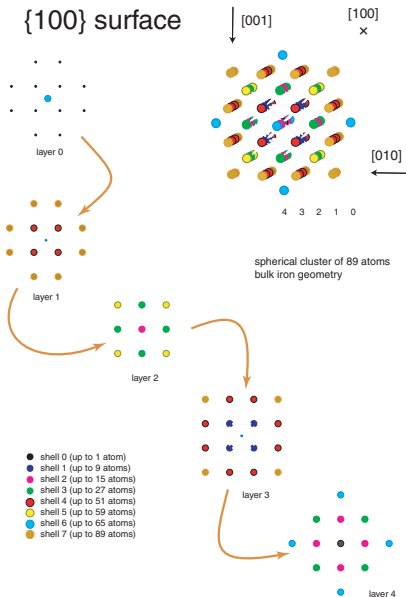
Clusters vers. surfaces: HOWTO

- ▶ take free iron cluster of 89 atoms
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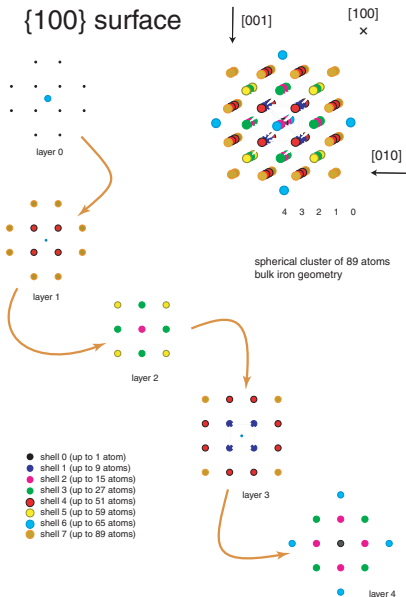
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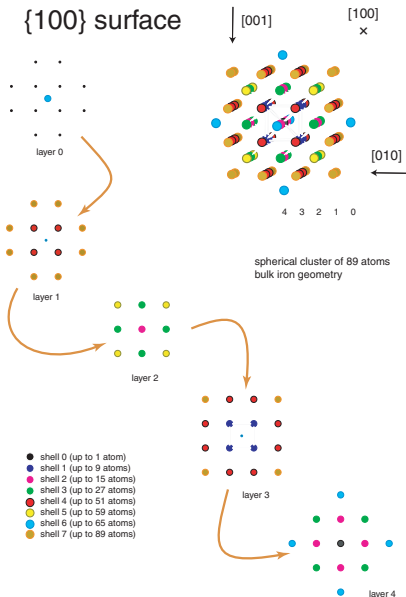
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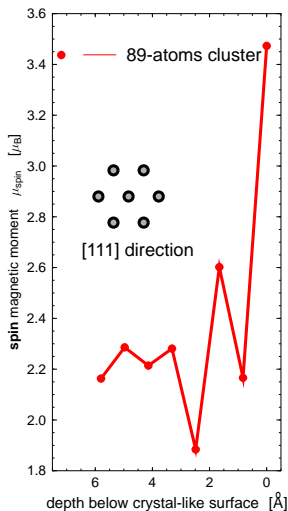
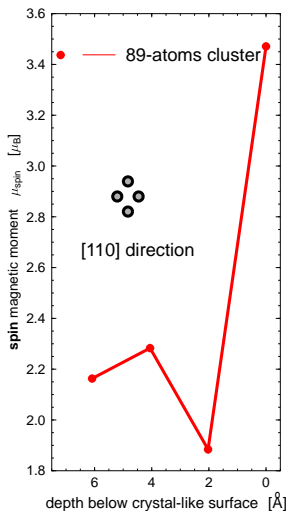
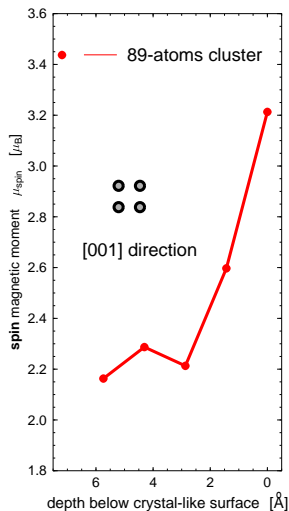


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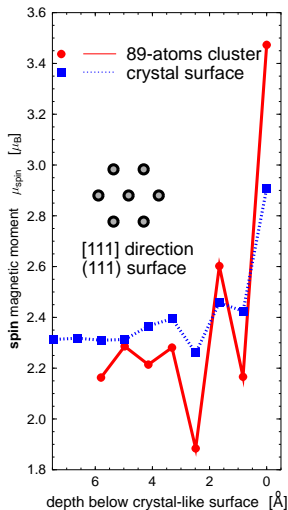
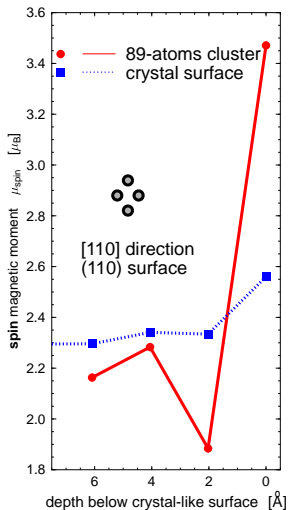
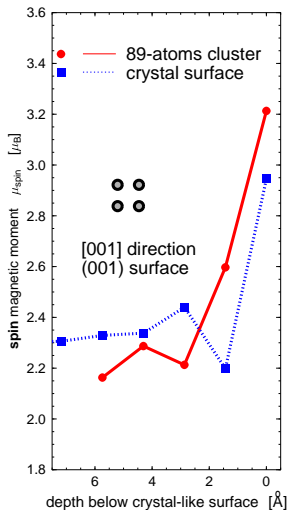
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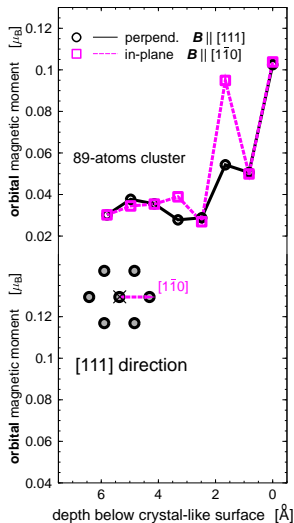
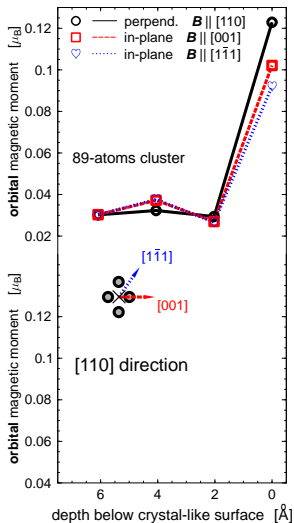
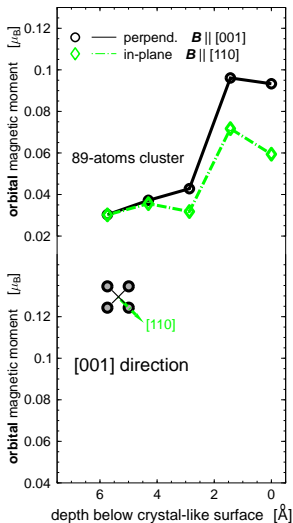
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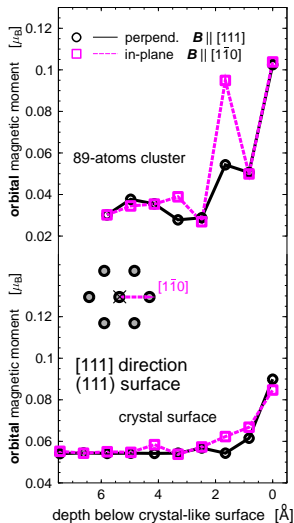
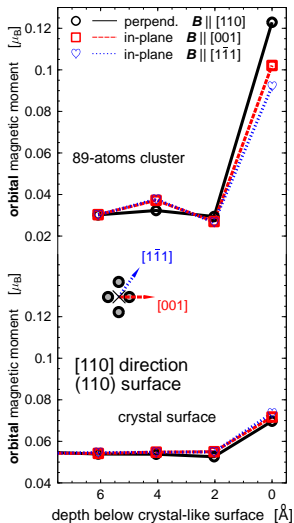
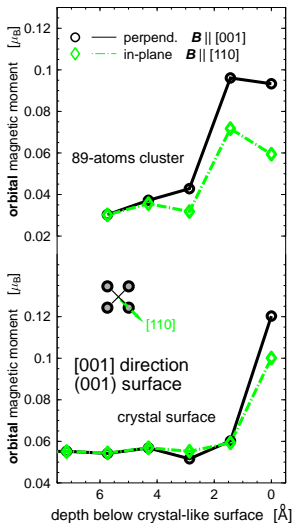
Clusters vers. surfaces: μ_{spin}



Clusters vers. surfaces: μ_{orb}



Clusters vers. surfaces: μ_{orb}



Dependence of μ_{spin} on N_{eff}

Effective coordination number:
for a *bcc* crystal one defines

$$N_{\text{eff}} = N_1 + 0.25 \times N_2,$$

where N_1 is number of 1st neighbors and N_2 is number of 2nd neighbors.

[D. Tománek *et al.*
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For orbital moment μ_{orb} , a similar dependence can be observed but with much larger “fluctuations”.

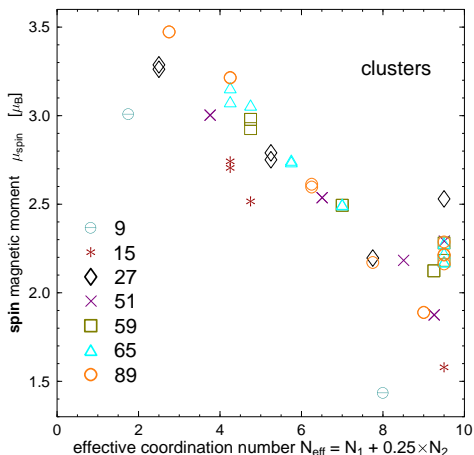
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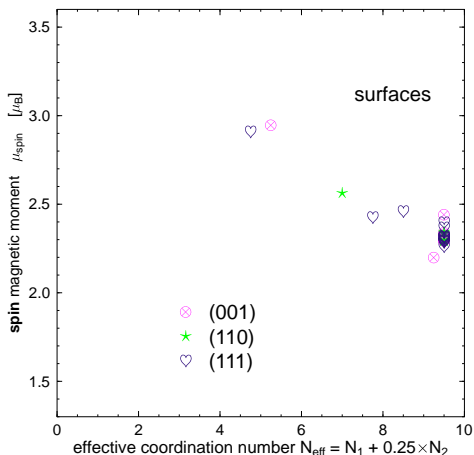
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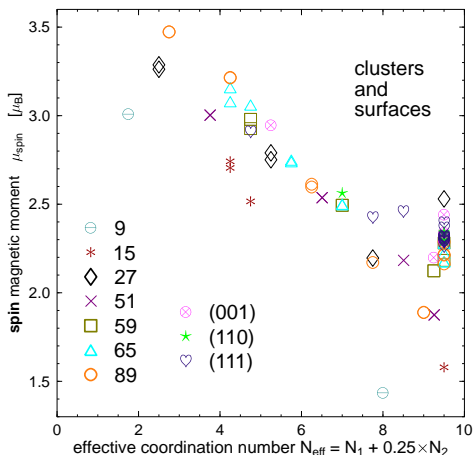
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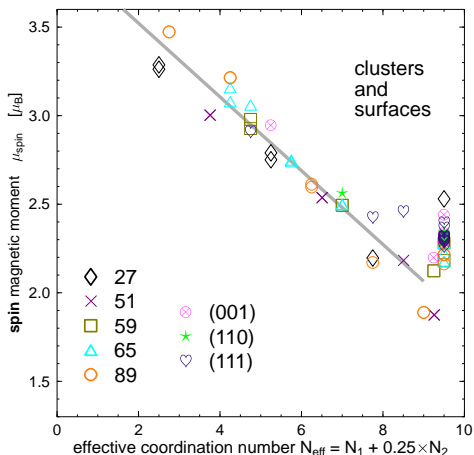
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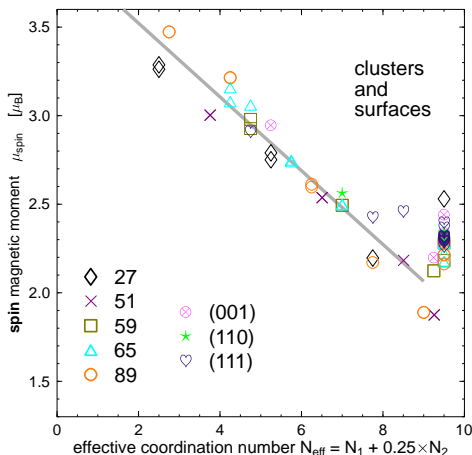
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Magnetism of free and supported clusters

Free and supported clusters

- ▶ How do the magnetic properties change if clusters are deposited on a substrate ?
- ▶ Take analogous systems (identical sizes, identical geometries) and have a look
- ▶ Focus rather on the trends than on particular values

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Computational procedure for supported clusters

Impurity Green function method

- ▶ Calculate electronic structure of the “host” system (clean surface)
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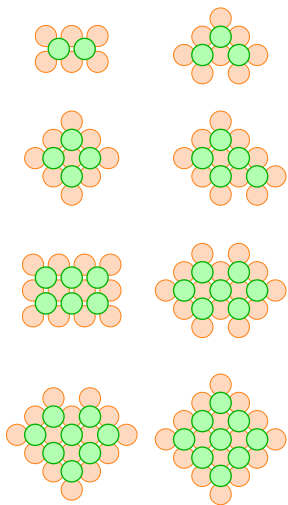
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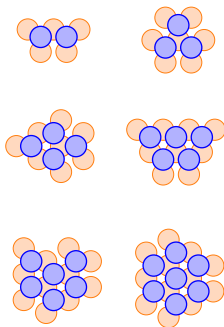
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Shapes of clusters (free or supported)

$\text{Fe}_N / \text{Ni}(001)$

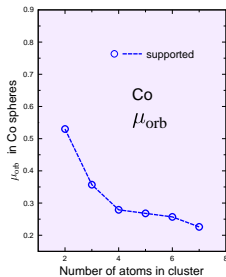
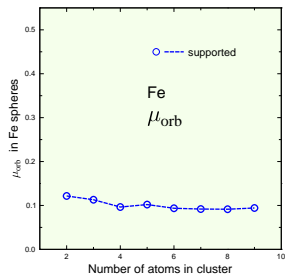
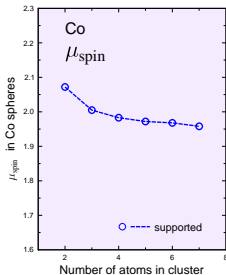
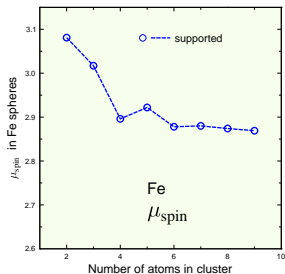


$\text{Co}_N / \text{Au}(111)$



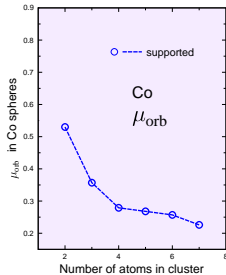
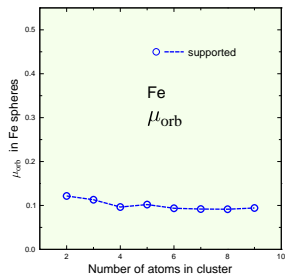
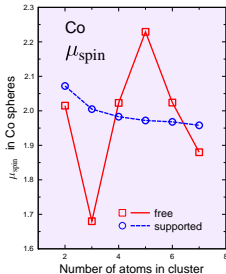
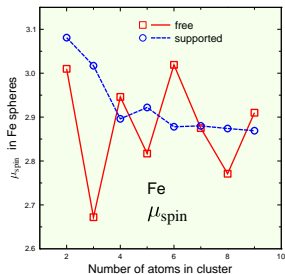
Only nearest-neighbor substrate atoms are shown.

Average magnetic moments



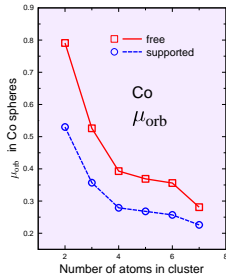
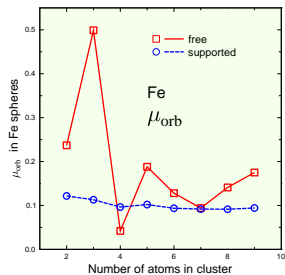
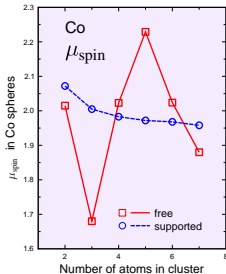
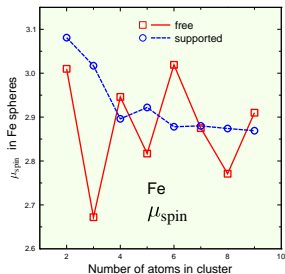
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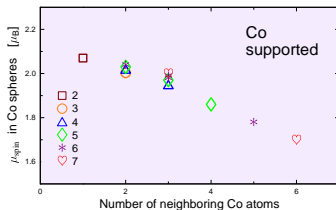
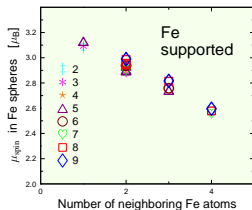
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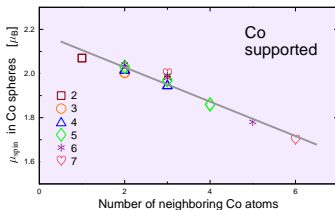
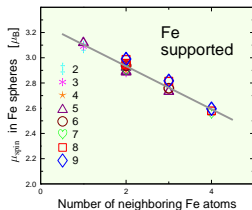


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Effect of coordination on μ_{spin}

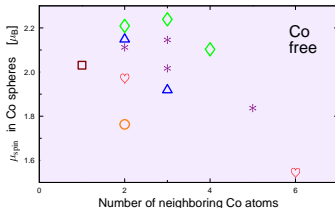
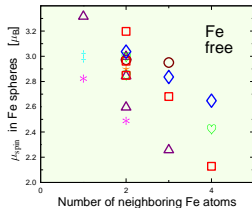
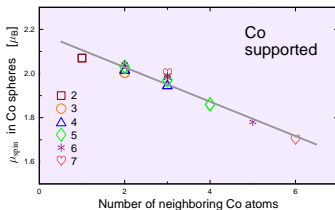
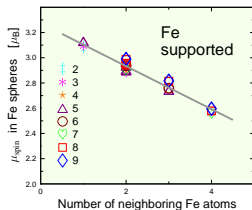


Effect of coordination on μ_{spin}



- ▶ μ_{spin} decreases if coordination number increases

Effect of coordination on μ_{spin}



- ▶ μ_{spin} decreases if coordination number increases
- ▶ Big scatter around the linear dependence for small planar free clusters

Comparison between free and supported clusters: summary

- ▶ Substrate acts as an adult supervisor for the free clusters
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Šipr *et al.* JPCM **19**, 096203 (2007)

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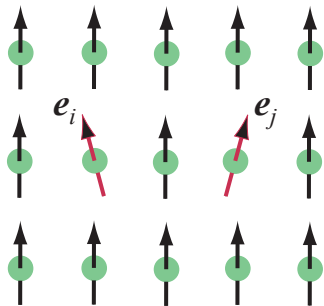
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Magnetism of clusters for $T \neq 0$

Finite temperature magnetism

For localized moments, finite temperature magnetism can be described by a classical Heisenberg hamiltonian

$$H_{\text{eff}} = - \sum_{i \neq j} J_{ij} \mathbf{e}_i \cdot \mathbf{e}_j$$



Mapping DFT onto Heisenberg

Comparing energy associated with **infinitesimal rotations** of **local magnetic moments** \implies

$$J_{ij} = -\frac{1}{4\pi} \text{Im} \int^{E_F} dE \text{Tr} \left[(t_{i\uparrow}^{-1} - t_{i\downarrow}^{-1}) \tau_{\uparrow}^{ij} (t_{j\uparrow}^{-1} - t_{j\downarrow}^{-1}) \tau_{\downarrow}^{ji} \right]$$

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From J_{ij} to $M(T)$

- ▶ Mean magnetization $M(T)$ of a system described by a classical Heisenberg hamiltonian is

$$M(T) = \frac{\sum_k M_k \exp(-\frac{E_k}{k_B T})}{\sum_k \exp(-\frac{E_k}{k_B T})}$$

M_k is the magnetization of the system for a particular configuration k of the directions of spins

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- ▶ Practical evaluation: Monte Carlo method with the importance sampling Metropolis algorithm
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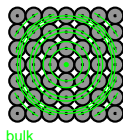
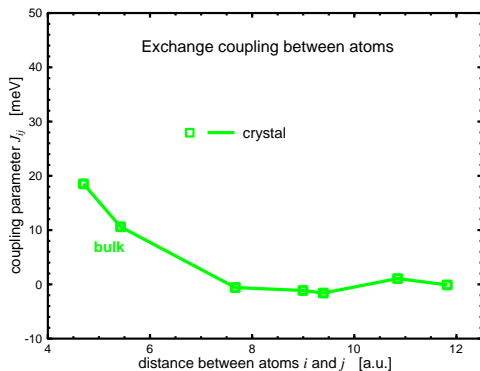
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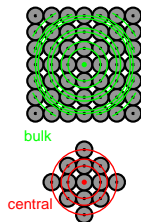
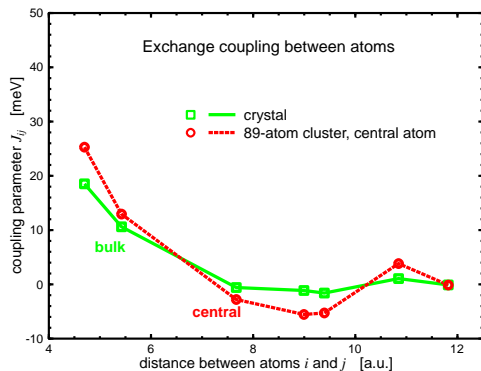
J_{ij} in bulk and in clusters



Atom i is fixed, atom j scans coordination shells around i

- ▶ Oscillatory decay of J_{ij} with distance
- ▶ J_{ij} for a given distance **differs** a lot between clusters and crystals

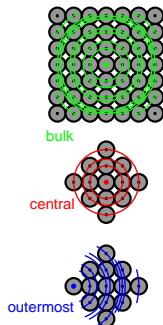
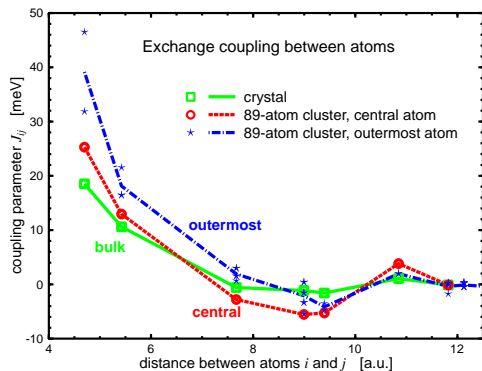
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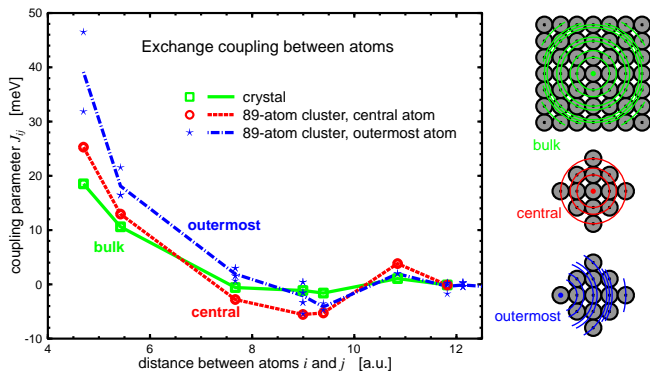
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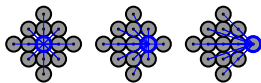
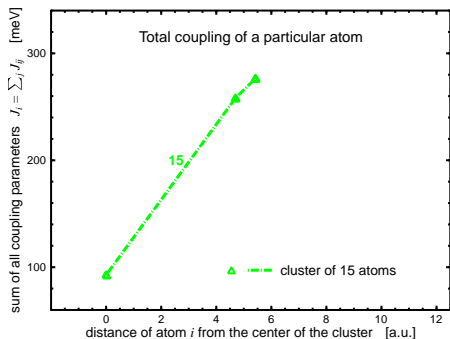
Site-dependence of $\sum_j J_{ij}$

Total strength with which one spin (at site i) is **held in its direction**:

Energy needed to flip the spin of atom i while keeping all the remaining spins collinear:

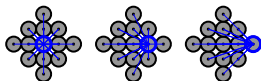
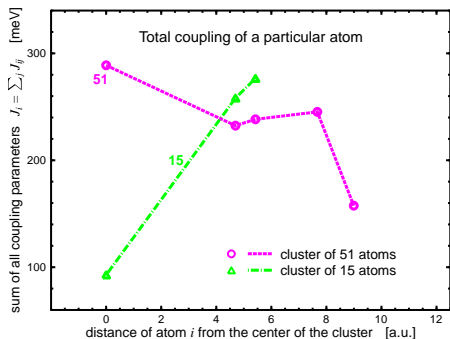
$$J_i = \sum_{j \neq i} J_{ij}$$

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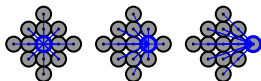
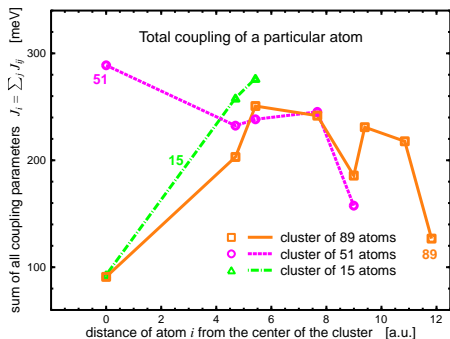
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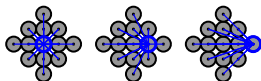
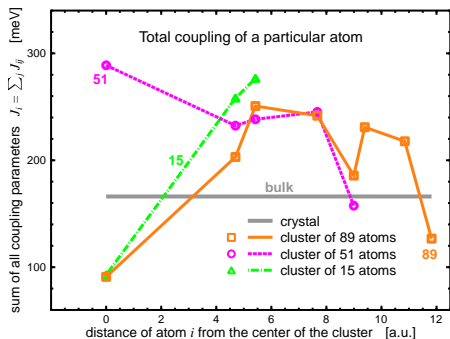
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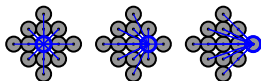
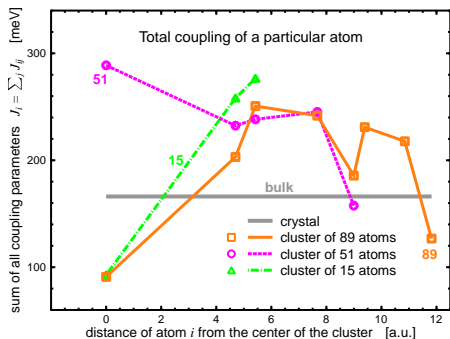
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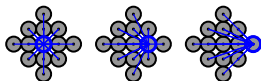
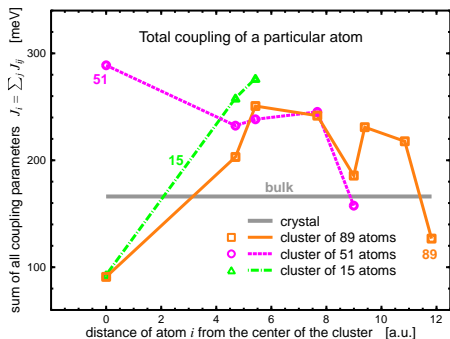
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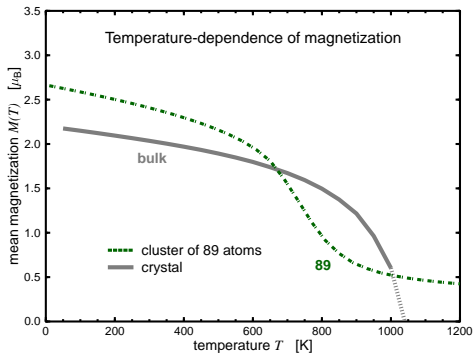
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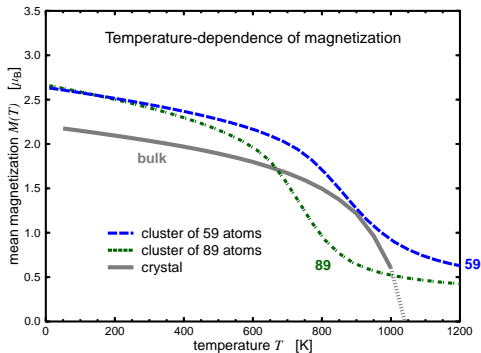
Decay of magnetization with T



[Bulk $M(T)$ curve was extrapolated to calculated T_C]

- ▶ $M(T)$ curves are **more shallow in clusters** than in bulk

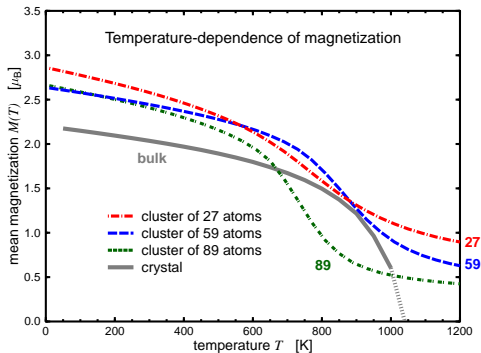
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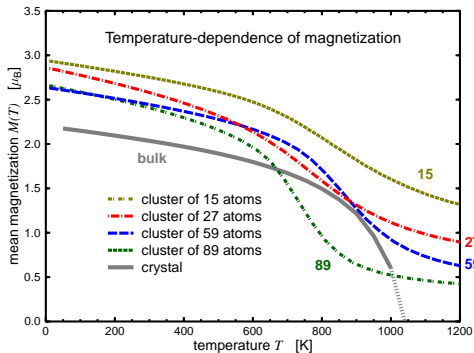
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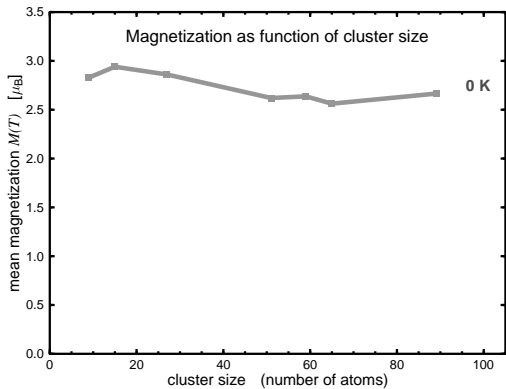
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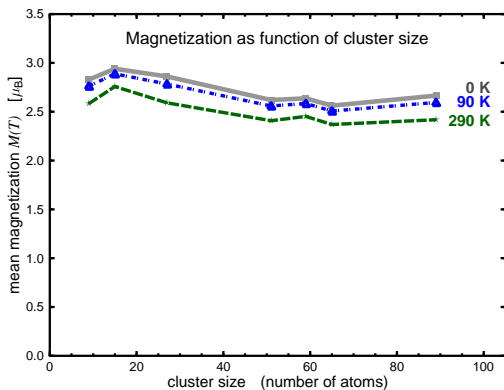
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M as function of cluster size

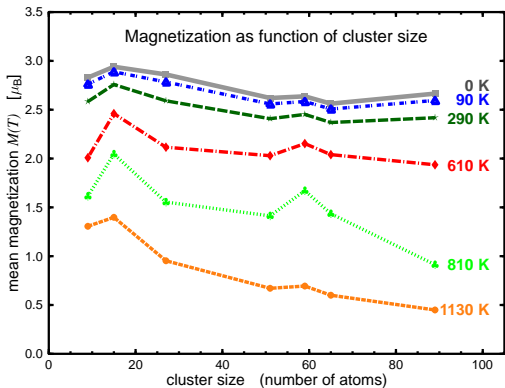


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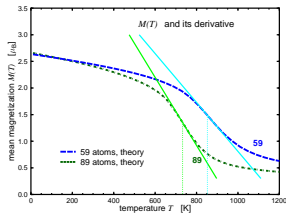
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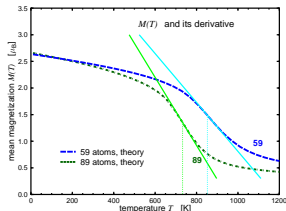
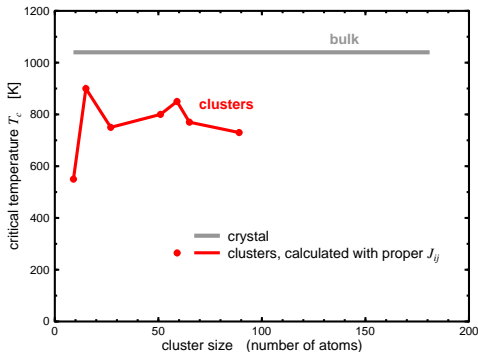
- ▶ Dependence of M on cluster size does not really vary with T for low (“experimental”) temperatures
- ▶ For large T , magnetization of large clusters is significantly reduced

Dependence of T_c on cluster size



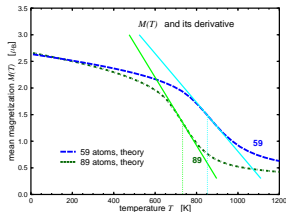
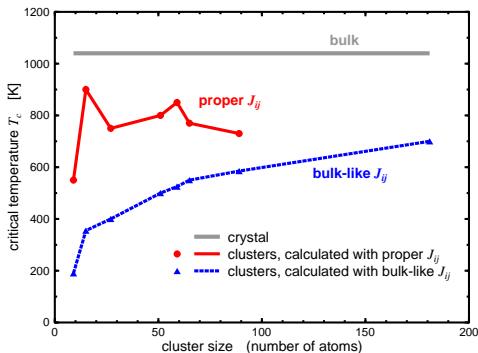
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Shell-resolved magnetization

Expectations:

outer shells have smaller coordination numbers than inner shells

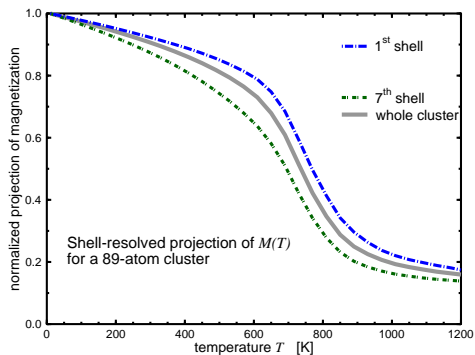
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⇒ M in outer shells should decay more quickly with T
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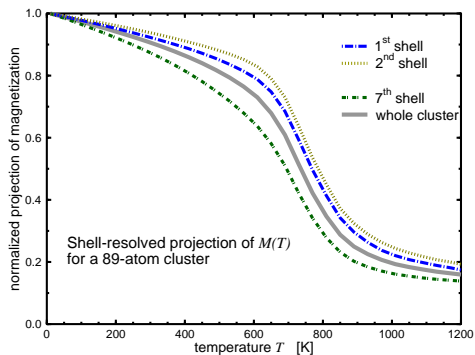


Projecting M of a shell onto the direction of the total M of the 89-atom cluster

(Projections are normalized to $T=0$)

- ▶ Not monotonous in order of shells
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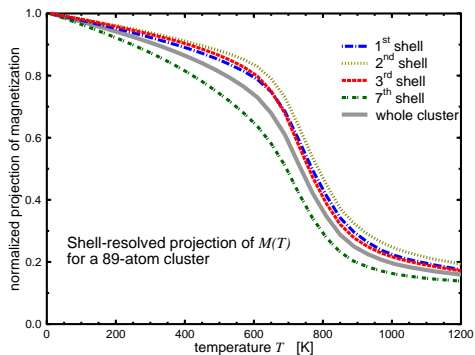


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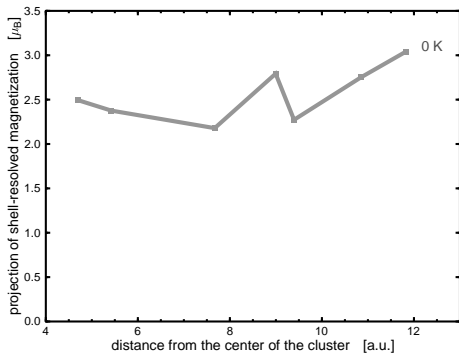


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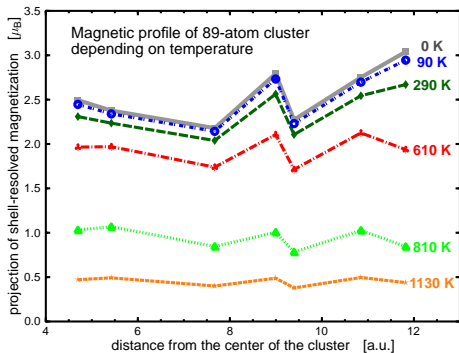
Magnetic profile for $T \neq 0$



Cluster of 89 atoms
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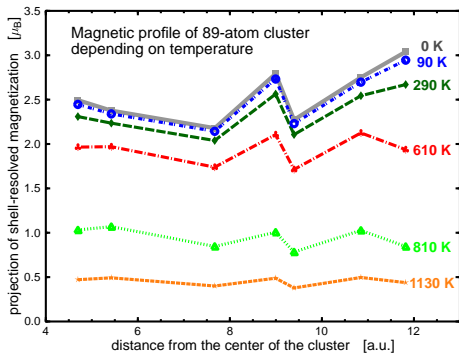
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X-ray absorption spectroscopy of clusters

X-ray absorption spectroscopy HOWTO

- ▶ X-rays go in, x-rays go out, absorption coefficient is measured as a function the energy of the incoming x-rays



- ▶ Most of the absorption goes on account of the photoelectric effect on core electrons
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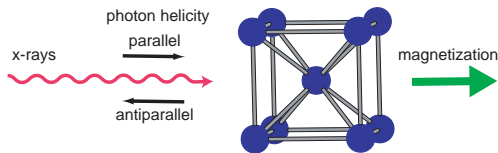
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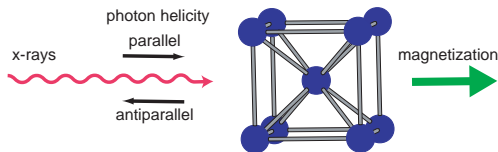
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 - ▶ $L_{2,3}$ edge: sum rules give access to the d components of μ_{spin} and μ_{orb} (for transition metals, that's what we want)
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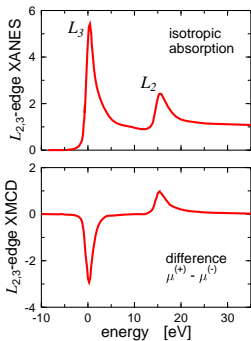
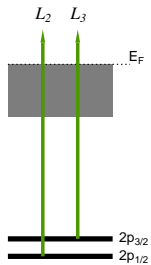
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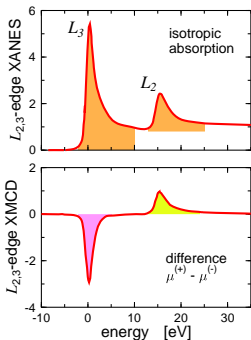
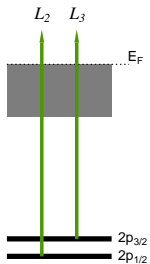
What can XMCD do for us?

- ▶ XMCD spectroscopy **probes the magnetic properties** of materials
- ▶ Through the **sum rules**, XMCD can inform about μ_{spin} and μ_{orb} separately
 - ▶ $L_{2,3}$ edge: sum rules give access to the d components of μ_{spin} and μ_{orb} (for transition metals, that's what we want)
 - ▶ K edge: sum rule gives access to the p component of μ_{orb}
- ▶ Employing sum rules on experimental data may require substantial **theoretical input**
- ▶ Theoretical modelling should provide an intuitive understanding of what is going on

$L_{2,3}$ edge of magnetic TM systems



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XMCD sum rules:

By adding, subtracting and dividing the peak areas, chemically-specific μ_{spin} , μ_{orb} and $\mu_{\text{orb}}/\mu_{\text{spin}}$ can be obtained

$$\int (\Delta\mu_{L_3} - 2\Delta\mu_{L_2}) dE \sim \frac{\mu_{\text{spin}}^{(d)} + 7T_z^{(d)}}{3n_h^{(d)}}$$

$$\int (\Delta\mu_{L_3} + \Delta\mu_{L_2}) dE \sim \frac{\mu_{\text{orb}}^{(d)}}{2n_h^{(d)}}$$

Some more details

- ▶ Spectrum of cluster is a **superposition** of spectra at edges of individual atoms
- ▶ The spectra do **not** depend on the direction of **M**
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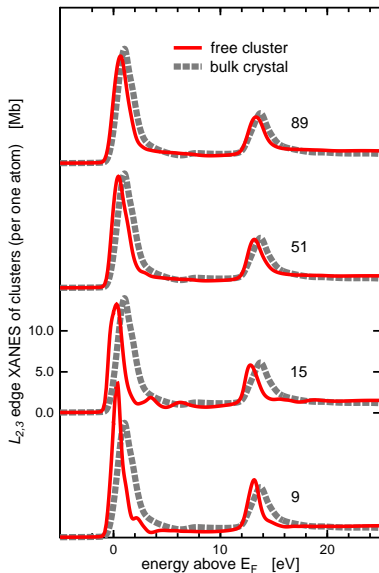
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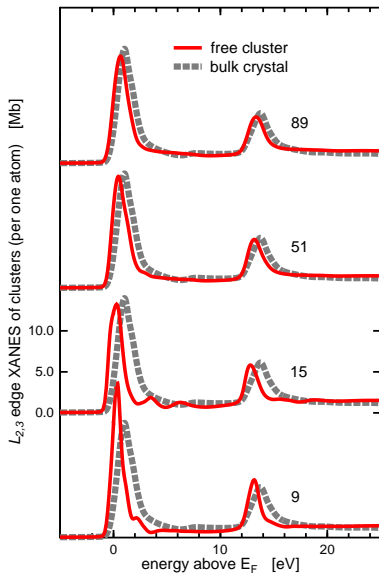
$L_{2,3}$ edge XAS of clusters

- No significant variation with cluster size
 - ▶ Fine structure just after the L_3 white line — presence of **truly discrete** states (vacuum level is 5–8 eV above E_F)
 - ▶ Smoothing of peaks for larger clusters



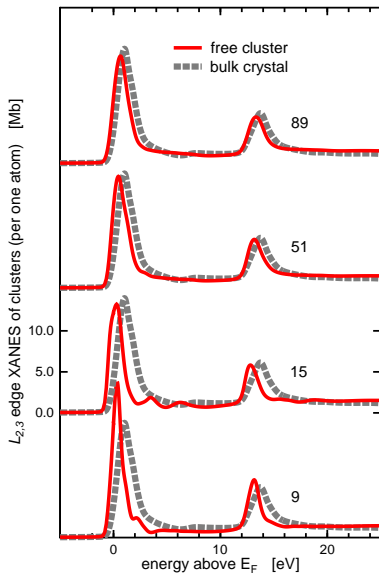
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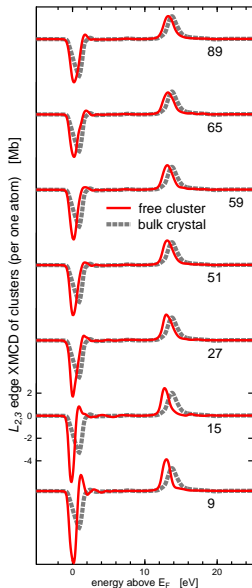
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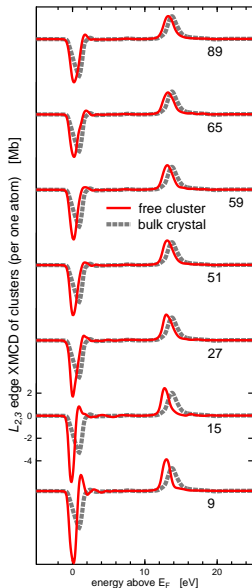
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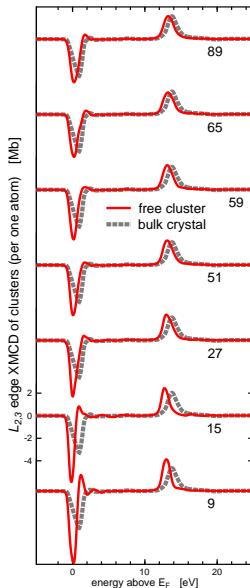
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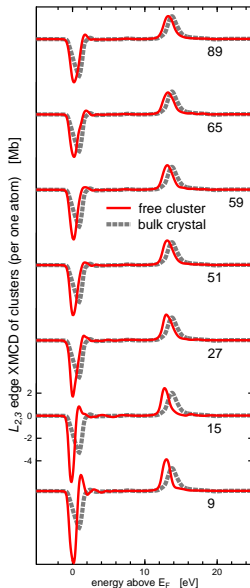
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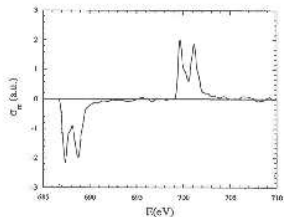
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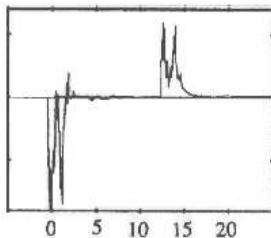
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Fe(001) surface

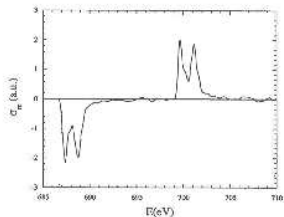
[Wu et al. PRL 71, 3581 (1993)]



Fe₂Cu₆ (001) multilayer

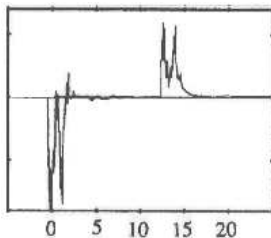
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Calculated XMCD of Fe surface or multilayers exhibit quite a **pronounced fine structure** at the Fe L_3 and L_2 edges.



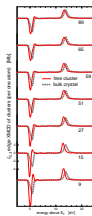
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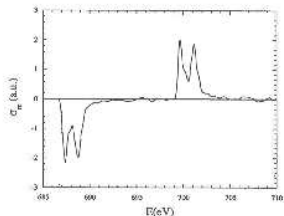
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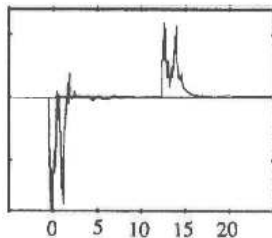
Calculated XMCD of clusters display no such fine structure.

Where have all the structures gone ?



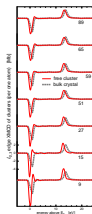
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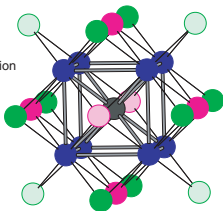
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Fe cluster
27 atoms

view in Z direction

- 3rd shell
- 2nd shell
- 1st shell
- center

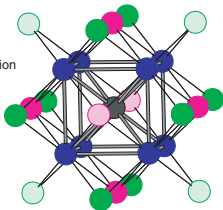


Spectrum of the whole cluster is
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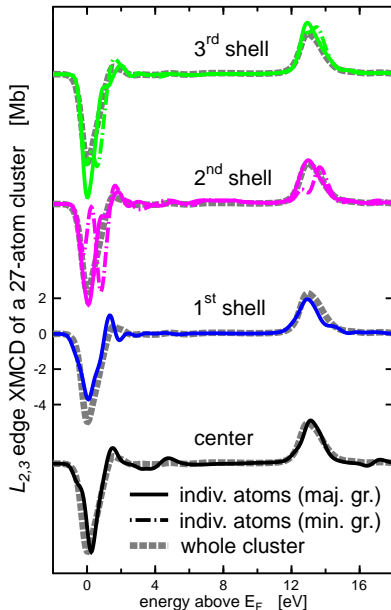
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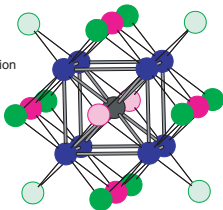


The wiggles in XMCD mutually cancel !

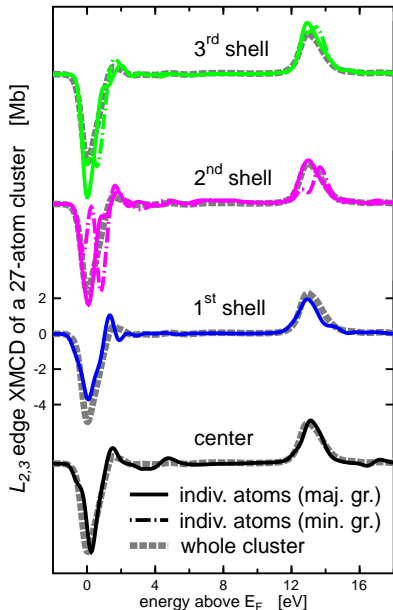
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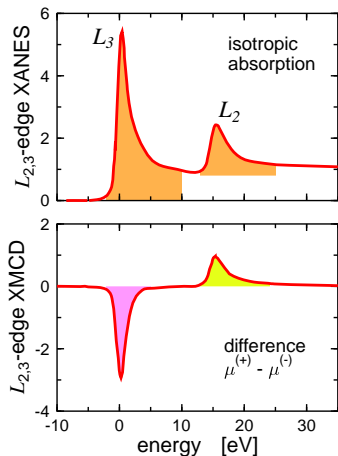
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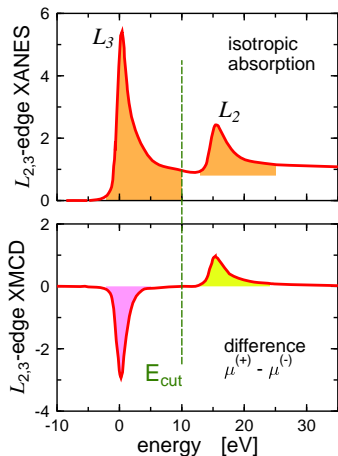


Sum rules can be checked



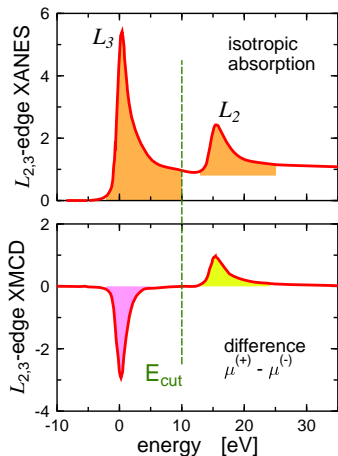
- ▶ Calculate the spectra theoretically
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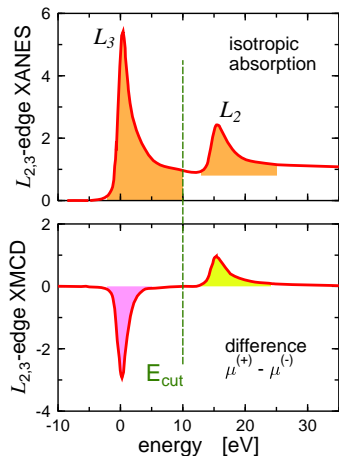
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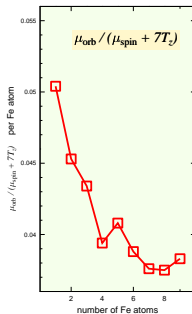
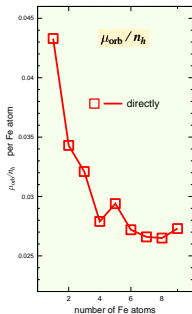
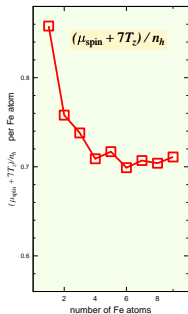
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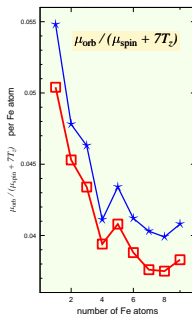
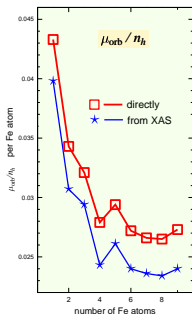
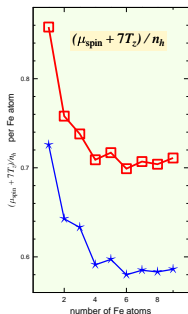
Validity of sum rules



$\text{Fe}_N / \text{Ni}(001)$

- ▶ Trends of the “effective moments” $(\mu_{\text{spin}} + 7T_z)/n_h$ and μ_{orb}/n_h are reproduced well enough
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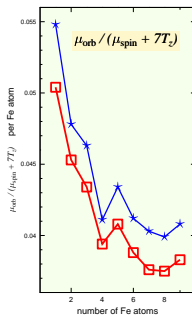
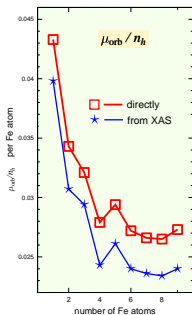
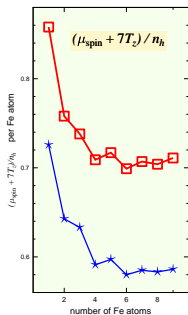
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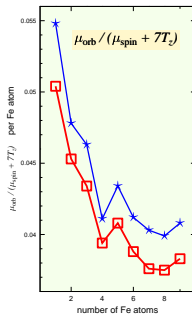
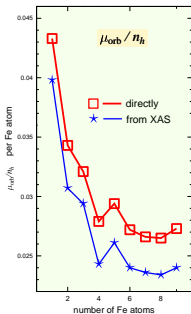
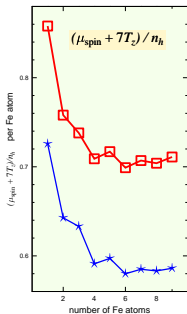
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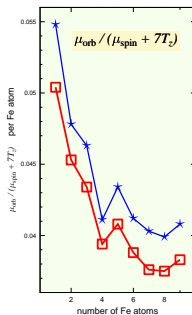
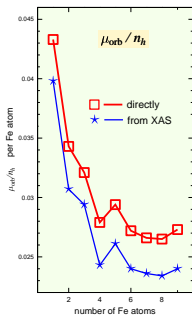
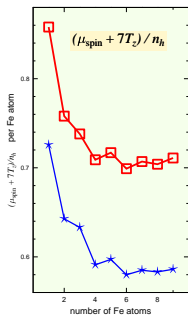
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- ▶ Difference between electronic structure of Fe clusters and of a Fe crystal is reflected by the difference in their XMCD
- ▶ The $L_{2,3}$ edge XMCD of the clusters differ from the bulk **only quantitatively** through higher intensities of the dominant peaks.
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